

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

RM		
Application Number	10/712,775	
Filing Date	November 14, 2003	
Inventor(s)	Donggyun HAN et al.	
Group Art Unit	1765	
Examiner Name	Alanko, Anita Karen	
D. 1 - 1 N 1 1	0557 0000EE/LIS	

		Atto	ney Docket Number	2557-000255/05	
		ENCLOSURE	S (check all that apply)		
Fee Transmittal For	m	Assignment P		After Allowance Communication to Group	
⊠ Fee Attached		Letter to the C	Official Draftsperson and sof Formal Drawing(s)	LETTER SUBMITTING APPEAL BRIEF AND APPEAL BRIEF (w/clean version of pending claims)	
Amendment		Licensing-rela	ated Papers	Appeal Communication to Group (Notice of Appeal, Brief, Reply Brief)	
After Final		Petition		Proprietary Information	
Affidavits/decla	ration(s)	Petition to Co Provisional A		Status Letter	
Extension of Time	Request	Power of Atto	orney, Revocation orrespondence Address	Other Enclosure(s) (please identify below):	
Express Abandonn	ment Request	Terminal Disclaimer Request for Refund		1) Petition for Correction of Inventorship 2)Amendment of Inventorship 3)Statement in Support of Petition for Corrections of Inventorship 4) Declaration of Inventors 5)Assignment of Inventors	
		CD, Number	of CD(s)	6)Consent of Assignee	
Certified Copy of F Document(s) Response to Miss	ing Parts/	Remarks MAILSTO	OP: Petition		
Incomplete Applic Response to Missi Parts under 37 CF 1.52 or 1.53	ing				
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or	Harness, Dickey		Attomey Name John A. Castellano	Reg. No. 35,094	
Individual name Signature	Uni	21 Hal	Ru Res.	No. 57,757	
Date	December 6,	2007	har specify are specificated a series		

HDP/S8/17 (09-07)
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE sired to respond to a collection of information unless it displays a valid OMB control number.

for FY 2008

Effective 2/8/2006. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

	Complete if Known	
Application Number	10/712,775	
Filing Date	November 14, 2003	
First Named Inventor	Donggyun HAN et al.	
Examiner Name	Alanko, Anita Karen	
Art Unit	1765	
Attemory Decket No.	2557-000216/US	

MET	HOD OF PAYMENT (check a	il that apply)					FEE CA	LCULATION (continued)	
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☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order			Entity		II Entity				
Deposit Accoun				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Lee pascribuon	ee Pald
Dit [l	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account	08-0750			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Number [3	1053	130	1053	130	Non-English specification	
Deposit			}	1812	2,520	1812	2,520	For filing a request for reexamination	
Account Name				1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
The Director is au	thorized to: (check all that idicated below 🔯 Credit a	apply)		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
Charge any add	litional fee(s) during the per	idency of this application	ก	1251	120	2251	60	Extension for reply within first month	
☐ Charge fee(s) in	idicated below, except for field deposit account.	the filing fee		1252	460	2252	230	Extension for reply within second month	
	FEE CALCULATION	1		1253	1,050	2253	525	Extension for reply within third month	
1. BASIC,FI	LING FEE			1254	1,640	2254	820	Extension for reply within fourth month	
Large Entity 5	Small Entity			1255	2,230	2255	1,115	Extension for reply within fifth month	
100 .00 1.	ee Fee <u>Fee Descrip</u>	tion Fee Paid		1401	510	2401	255	Notice of Appeal	<u> </u>
(",	ode (\$) 011 155 Utility filling fo		\neg	1402	510	2402	255	Filing a brief in support of an appeal	
1011 -	012 105 Design filing		_	1403	1,030	2403	515	Request for oral hearing	<u> </u>
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1017 0.0	005 105 Provisional 1			1462	400	1462	400	Petition fee under 37 CFR 1.17(f)	
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	SUBTOTAL (1)	(\$) 0		1464	130	1464	130	Petition fee under 37 CFR 1.17(i)	
	IM FEES FOR UTILITY	AND REISSUE		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q	'
2. EXTRA CLA	Extra Claims	Fee from Fee below Pai		1806	180	1806	180	Submission of Information Disclosure Stmt	
Total Claims		× = 0	=	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Independent Claims		x = 0	긕	1809	810	2809	405	Filing a submission after final rejectio (37 CFR § 1.129(a))	n
Multiple Dependent		= 0		1810	810	2810	405	For each additional invention to be examined (37 CFR § 1.129(b))	
Large Entity Fee Fee	Small Entity Fee Fee Fee Do	- contation		1801	810	2801	1 405	Request for Continued Examination (RCE)	
Code (\$)	Code (\$)	escription				:4 4		V	
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1201 210	1	ndent claims in excess on dependent claim, if not		'Re	duced b	y Basic F	lling re	····	
1203 370	** Reis	sue independent claims						ION FEES Utility Search Fee	
1204 210	2204 105 origina	il patent		1111	510	2111		Design Search Fee	—
1205 50		sue claims in excess of	20 and			2112		Plant Search Fee	
1205 50	over o	riginal patent		1113		211	•	Reissue Search Fee	
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				1313			3 80	Plant Examination Fee	L
				1314			4 310	Reissue Examination Fee	
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or number pre	viously paid, if greater, For Reis	sues, see above				===		Complete (il applicable)	
SUBMITTED BY		- Desistantia						CONTRIBUTE (III application)	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/712,775

Group Art Unit:

1765

Filing Date:

November 14, 2003

Examiner:

Alanko, Anita Karen

Applicant:

Donggyun HAN et al.

Title:

APPARATUS AND METHOD

FOR REMOVING

PHOTORESIST FROM A SUBSTRATE

Attorney Docket:

2557-000216/US

Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314 Mail Stop: Petition December 6, 2007

PETITION FOR CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)

Sir:

Submitted herewith is an Amendment correcting the inventorship of the above-identified patent application. The Amendment adds HyoSan Lee as a co-inventor of the subject matter disclosed and claimed in the present application.

In support of the present Petition, attached hereto are the following items:

- (1) a Request in the form of an Amendment to correct the inventorship, which sets forth the desired inventorship change;
- (2) a statement from the person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part;
 - (3) an Oath or Declaration by the actual inventors;

12/07/2007 RYONDAF1 00000001 10712775

01 FC:1464

139.09 GP

Application No. 10/712,775 Attorney Docket No. 2557-000216/US

- the processing fee set forth in 37 C.F.R. § 1.17(i); and (4)
- a Written Consent of Assignee to the requested change of inventorship. (5)

Attached is our check in the amount of \$130 in payment of the Petition Fee under 37 C.F.R. § 1.17(i). Please credit or debit Deposit Account No. 08-0750 as necessary to effect entry and consideration of this Petition and the attached documents.

Should there be any questions regarding this Petition, please contact Applicants' undersigned attorney at the telephone number listed below.

Respectfully submitted,

HARNESS, DICKEY, & PIERCE, P.L.C.

John A. Castellano, Reg. No. 39,094

P.O. Box 8910

Reston, Virginia 20195

(703) 668-8000

JAC/EGH:ald

Attachments: Amendment of Inventorship Statement of Added Inventors Declaration of Inventors Consent of Assignee



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/712,775

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1765

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Alanko, Anita Karen

Applicant:

Donggyun HAN et al.

Title:

FOR METHOD

REMOVING

AND PHOTORESIST FROM A SUBSTRATE

Attorney Docket:

2557-000216/US

APPARATUS

Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314 Mail Stop: Petition

December 6, 2007

AMENDMENT OF INVENTORSHIP

Sir:

The above-identified application was filed on November 14, 2003, naming DongGyun Han, WooSung Han, ChangKi Hong, SangJui Choi, HyungHo Ko, and ChangKi Hong as inventors. An executed Declaration and Power of Attorney was filed on June 14, 2004.

However, through error and without any deceptive intention, the inventive entity identified for the present application is incorrect. The actual joint inventors for the present application are DongGyun Han, WooSung Han, ChangKi Hong, SangJui Choi, HyungHo Ko, and HyoSan Lee. Therefore, please add HyoSan Lee as a co-inventor of the subject matter disclosed and claimed in the present application.

Application No. 10/712,775 Attorney Docket No. 2557-000216/US

Submitted herewith is a Petition for Correction of Inventorship under 37 C.F.R. §1.48(a), including a Statement from the inventor being added as an inventor that the error in inventorship occurred without deceptive intention on his part, an executed Declaration/Power of Attorney from all of the correct inventors of the present application, the fee set forth in 37 C.F.R. §1.17(i), and an executed Consent of Assignee to the presently requested change of inventorship.

Accordingly, Applicants submit that the requirements of the 37 C.F.R. 1.48(a) are satisfied. Acknowledgement of the correction of inventorship by the Patent Office is respectfully requested.

Respectfully submitted,

HARNESS, DICKEY, & PIERCE, P.L.C.

 $\mathbf{B}\mathbf{y}$

Shn A. Castellano, Reg. No. 35,094

P.O. Box 8910

Reston, Virginia 20195

(703) 668-8000

JAC/EGH:ald





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/712,775

Group Art Unit:

1765

Filing Date:

November 14, 2003

Examiner:

Alanko, Anita Karen

Applicant:

Donggyun HAN et al.

Title:

APPARATUS AND METHOD FOR REMOVING PHOTORESIST

FROM A SUBSTRATE

Attorney Docket:

2557-000216/US

Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314 Mail Stop: Petition

Date: October 25, 2007

STATEMENT IN SUPPORT OF PETITION FOR CORRECTIONS OF INVENTORSHIP UNDER 37 C.F.R. §1.48(n)

Sir:

We, DongGyun Han, WooSung Han, ChangKi Hong, SangJui Choi, and HyungHo Ko, hereby declare and state:

- 1. U.S. Patent Application No. 10/712,775 filed on November 14, 2003, identified DongGyun Han, WooSung Han, ChangKi Hong, SangJui Choi, HyungHo Ko, and ChangKi Hong as inventors of the subject matter disclosed and claimed in that patent application.
- 2. The identification of the inventive entity for the application was incorrect, by error and without any deceptive intention. In particular, the correct inventive entity for the application is DongGyun Han, WooSung Han, ChangKi Hong, SangJui Choi, HyungHo Ko, and HyoSan Lee. Accordingly, correction of inventorship of the application is proper by the addition of HyoSan Lee as a named co-inventor.

- 3. The undersigned hereby affirms that I am an inventor, with DongGyun Han, WooSung Han, ChangKi Hong, SangJul Choi, and HyungHo Ko, of the subject matter disclosed and claimed in the application. The error in identification of inventorship was made in error and without deceptive intention.
- 4. All statements made herein of my own knowledge are true, and all statements made on information and belief are believed to be true; and further these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and/or imprisonment under Section 1001 of Title 18 of the United States Code, and that such willful false statements may Jeopardize the validity of the application or any patent issuing therefrom.

Date: 11/07/07	Dong Gyun Han. Dong Gyun Han.
Date:	WooSung Han
Date:	ChangKi Hong
Date:	SangJun Choi
Dato;	HyungHo Ko
Date:	HyoSan Lee

APP DE END TO E

Application No. 10/712,775 Attorney Docket No. 2557-000216/US

3. The undersigned hereby affirms that I am an inventor, with DongGyun Han, boosung Han, ChangKi Hong, SangJui Choi, and HyungHo Ko, of the subject matter disclosed and claimed in the application. The error in identification of inventorship was made in error and without deceptive intention.

4. All statements made herein of my own knowledge are true, and all statements made on information and belief are believed to be true; and further these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and/or imprisonment under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

Dane:		
Date:_	Nov. 12, 2007	DongGyun Han WooSung Han
Date;_	2007.11.12	Change Ki Honse
Date:	>001.11.12	Sang Jun Chor SangJun Choi
Date:	NOV. 12, 2009	Hyung Ho Ko HyungHo Ko
Date:	2007 - 11 - 09	Hyosan Lee Hyosan Lee



Atty. Dkt. No.: 2557-000216/US

As a below marked inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

AN APPARATUS AND METHOD FOR REMOVING PHOTORESIST FROM A SUBSTRATE

the specification of	which (check one)
	is attached hereto.
\boxtimes	was filed on November 14, 2003 as Application Serial No. 10/712,775.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

	PRIOR FOREIGN AP	PLICATION(S)		
APPN. SERIAL NO.	COUNTRY	DATE FILED (MM/DD/YYYY)	PAIORIT	
10 2000 2042400			Yea	No
10-2003-0042133	Korea	6/26/2003		

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

PRIOR PROVISIO	NAL APPLICATION(S)
APPN. SERIAL NO.	DATE FILED (MINDDYYYY)
	·

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below:

	PRIOR U.S. APPLICATION(S)	
APPN, SERIAL NO.	DATE FILED (MM/DD/YYYY)	STATUS - PATENTED, PENDING, ABANDONED

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY

I hereby appoint the following attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

John A. Castellano Terry L. Clark	Reg. No. 35,094
Donald J. Daley	Reg. No. 32,644 Reg. No. 34,313
Gary D. Yacura	Reg. No. 35,416

I request the Patent and Trademark Office to direct all correspondence and telephone calls relative to this application to Harness, Dickey & Pierce, P.L.C., Customer No. 30593, P.O. Box 8910, Reston, Virginia, 20195, (703) 668-8000.

DONGGYUN HAN:
Inventor's signature:
Date: // / 07 /07
Residence: Byucksan town 3rd danji 303-802, Jukjeon-dong, Yongin-si, Gyeonggi-do
Korea
Citizenship: Republic of Korea
Mailing Address: Byucksan town 3rd danji 303-802, Jukjeon-dong, Yongin-s
Gyeonggi-do, Korea
WOOSUNG HAN:
Inventor's signature:
Date:
Residence: Yeoksam-Hanshin A.P.T. 2-1305ho, Dogok 1(II)-dong, Gangnam-du
Secul, Korea
Citizenship: Republic of Korea
Malling Address: Yeoksam-Hanshin A.P.T. 2-1305ho, Dogok 1(ii)-dong, Gangnam-du,
Seoul, Korea
CHANGKI HONG:
Inventor's signature:
Date:
Residence: Mujigae Village Samsung A.P.T. Gumi-dong, Bundang-gu, Seongnam-si,
Gyeonggl-do, Korea
Citizenship: Republic of Korea
Mailing Address: Mujigae Village Samsung A.P.T. Guml-dong, Bundang-gu,
Seongnam-si, Gyeonggi-do, Korea





I request the Patent and Trademark Office to direct all correspondence and telephone calls relative to this application to Hamese, Dickey & Pierce, P.L.C., Customer No. 30593, P.O. Box 8910, Reston, Virginia, 20195, (703) 668-8000.

DONGGYUN HAN:
Inventor's signature:
Date:
Residence: Byucksan town 3rd danji 303-802, Jukjeon-dong, Yongin-si, Gyeonggi-do,
Korea
Citizenship: Republic of Korea
Mailing Address: Byucksan town 3rd danji 303-802, Jukjeon-dong, Yongin-si,
Gyeonggi-do, Korea
WOOSUNG HAN: Inventor's signature: Date: Nev. 12. 2007
Residence: Yeoksam-Hanshin A.P.T. 2-1305ho, Dogok 1(ii)-dong, Gangnam-du,
Seoul, Korea
Citizenship: Republic of Korea
Mailing Address: Yeoksam-Hanshin A.P.T. 2-1305ho, Dogok 1(il)-dong, Gangnam-du,
Seoul Korea
Inventor's eignature: Chang Ki Heng Date: 7991 11.12
Residence: Mujigae Village Samsung A.P.T. Gumi-dong, Bundang-gu, Seongnam-si,
Gyeonggl-do, Korea
Citizenship: Republic of Korea
Malling Address: Mujigae Village Samsung A.P.T. Gumi-dong, Bundang-gu,
Seongnam-si, Gyeonggi-do, Korea





SANGJUN CHOI;		
Inventor's signature:	Sang Jun Char	
Date:	2001. 11. 12	
Residence: 223-1, 302hd	o, Guul 3(sam)-dong, Gwangjin-gu, Se	oul, Korea
Citizenship: Republic of F	Corea	
Mailing Address: 223-1, 3	302ho, Guul 3(sam)-dong, Gwangjin-ç	gu, Seoul, Korea
HYUNGHO KO:		
Inventor's signature:	Nov. 12, 2007	
Date:	NOV 12, 2007	
	T. 105-802ho, Bongcheonbon-dong	, Gwanak-gu, Seoul.
Korea		
Citizenship: Republic of K	Corea	
Mailing Address: Dusan A	A.P.T. 105-802ho, Bongcheonbon-dor	ng, Gwanak-gu, Seoul,
Korea		
•		
HYOSAN LEE:		
Inventor's signature:	Hyoson Love	•
Date:	- 11 - 09	
	o, Maetan-dong, Paldal-gu, Suwon-si,	Gyeonogi-do, Korea
Citizenship: Republic of K	•	
•	205ho, Maetan-dong, Paldal-gu, Su	won-si, Gyeongai-do.
Koros		

ASSIGNMENT

Atty. Docket No. 2557-000216/US

WHEREAS, the undersigned, hereinafter referred to collectively as Assignor, has invented:

AN APPARATUS AND METHOD FOR REMOVING PHOTORESIST FROM A SUBSTRATE

for which Assignor is about to make or has made United States or International application for patent

(a)		executed on even date preparatory to filing (each inventor should sign this Assignment on the same day as he/she signs the Declaration and Power of Attomey);
(b)		executed on,; or
(c)	\boxtimes	filed on November 14, 2004, and assigned Serial No. 10/712,775;
(a)		U.S. Patent No bsued : and

WHEREAS, SAMSUNG ELECTRONICS CO., LTD., a corporation of Korea having a principal place of business at 416 Maetan-dong, Paldal-ku, Suwon-city, Kyunggi-do, Republic of Korea, hereinafter referred to as Assignee, is desirous of acquiring an interest therein:

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy whereof is hereby acknowledged, Assignor by these precents does sell, assign and transfer unto Assignee and its successors in interest, the full and exclusive right, title and interest in the United States of America and all foreign countries, including the right to claim priority under the laws of the United States, the Parls Convention, and any foreign countries, to the said invention as described in the aloresaid application, said application for patent and all Letters Patent therefor to be held and enjoyed by Assignee to the full end of the term for which said Letters Patent are granted and any extensions thereof as fully and entirely as the same would have been held by Assignor had this assignment and sale not been made, and the right to recover for past infringements of, or liabilities for, any of the rights relating to any of said applications or patents resulting therefrom;

Assignor hereby covenants and agrees to execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent in the United States and In all foreign countries including, but not limited to, any provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to letters patent any release, re-examination, or extension thereof, and for litigation regarding, or for the purpose of protecting title and to the said invention, the United States application for patent, or Letters Patent therefor, and to testify in support thereof, for the benefit of Assignee without further or other compensation than that above set forth;

Assignor hereby covenants that no assignment, sale or agreement or encumbrance has been or will be entered into which would conflict with this Assignment; and

Assignor hereby requests the Commissioner of Patents and Trademarks to Issue said Letters Patent of the United States of America to Assignee, and requests that any official of any country or countries foreign to the United States, whose duty it is to issue or grant patents and applications as aforesaid, to leave said Letters Patent, Utility Model Registration or Inventor's Certificate to Assigned.

The undersigned hereby grant(s) the law firm of Hamess, Dickey & Pierce, P.L.C. the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

ASSIGNMENT

Dong Gyun Han DONGGYUN HAN 11 1 07 1 07 Dated
DONGGYUN HAN
11/07/07
Dated
WOOSUNG HAN
Datod
Baios
CHANGKI HONG
Dated
SANGJUN CHOI
Dated
Dateu
<u>.</u>
HYUNGHO KO
Dated
HYOSAN LEE
Dated -

ASSIGNMENT

DONGGYUN HAN
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Nov. 12,2007
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Sang Jun Choi SANGJUN CHOI
Dated 11, 12
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Dated
HYUNGHO KO NOV. 12, 2007
HYUNGHO KO
NOV, 12, 2007
Dated
Hyosan Lee HYOSAN LEE
HYOSAN LEE
2007 - 11-09
Dated



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/712,775

Group Art Unit:

1765

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November 14, 2003

Examiner:

Alanko, Anita Karen

Applicant:

Donggyun HAN et al.

Title:

APPARATUS AND METHOD FOR REMOVING PHOTORESIST

FROM A SUBSTRATE

Attorney Docket:

2557-000216/US

Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314 Mail Stop: Petition

Date: October 25, 2007

CONSENT OF ASSIGNEE TO CHANGE OF INVENTORSHIP

Sir:

The Assignee, Samsung Electronics Co., Ltd., hereby consents to the change of inventorship identified in the attached documents, namely, the name change to add HyoSan Lee as a named co-inventor of the present application.

The undersigned have reviewed the chain of title for this application (a copy of the Assignment is attached) and hereby confirm that title is in the assignee. In addition, the undersigned hereby confirm that he has authority to sign this Consent on behalf of the Assignee.

Date:

November 20, 2007

Signature:

Title:

President